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02-04-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0951-0032

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. <b>5367USA</b>	
		First Inventor <b>HEDDLESON, RONALD A.</b>	
		Title <b>FOOD PRODUCTS WITH IMPROVED BILE ACID BINDING FUNCTIONALITY AND METHODS FOR THEIR PREPARATION</b>	
(Only for new nonprovisional applications under 37 CFR 1.63(b))		Express Mail Label No. <b>E1757941190US</b>	
<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
SEE MPEP chapter 800 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for the pre-process)</small>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>	
3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: <b>20</b>]</span> <small>(Indicate appropriate and brief subject)</small>		a. <input type="checkbox"/> Computer Readable Form (CRF)	
-Descriptive title of the invention		b. Specification Sequence Listing on:	
-Cross Reference to Related Applications		i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or	
-Statement Regarding Fed sponsored R & D		ii. <input type="checkbox"/> paper	
-Reference to sequence listing, a table, or a computer program listing appendix		c. <input type="checkbox"/> Statements verifying identity of above copies	
-Background of the invention			
-Brief Summary of the Invention			
-Brief Description of the Drawings (if filed)			
-Detailed Description			
-Claim(s)			
-Abstract of the Disclosure			
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Pages: <b>3</b>]</span>			
5. Oath or Declaration <b>incomplete</b> <span style="float: right;">[Total Pages: <b>3</b>]</span>			
a. <input type="checkbox"/> Newly executed (original or copy)			
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 16 completed)</small>			
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)			
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
<b>ACCOMPANYING APPLICATION PARTS</b>			
9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))			
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small>			
11. <input type="checkbox"/> English Translation Document (if applicable)			
12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449			
13. Preliminary Amendment			
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16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 <small>(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</small>			
17. <input type="checkbox"/> Other.			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:			
Prior application information: Examiner: _____ Group Art Unit: _____ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
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Country <b>usa</b>	Telephone <b>763-764-2265</b>	Fax <b>763-764-2268</b>	
Name <b>Michael C. Majer</b>		Registration No. (Attorney/Agent) <b>36206</b>	Date <b>February 1, 2002</b>
Signature			

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number N/A  
Filing Date  
First Named Inventor HEDDLESON, RONALD A.  
Examiner Name  
Group Art Unit  
Attorney Docket No. 5367USA

TOTAL AMOUNT OF PAYMENT (\$5824.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 07-0900  
Deposit Account Name General Mills, Inc.  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant Claims small entity status. See 37 CFR 1.27

## 2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money ☐ Other Order

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

101 740 201 370 Utility filing fee

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 150 214 80 Provisional filing fee

SUBTOTAL (1) (\$) 740.00

### 2. EXTRA CLAIM FEES

Extra Claims Fee from below Fee Paid

Total Claims 20 20\*\* 9 9

Indep. Claims 4 3\*\* 1 1

Multiple Dependent 15 15 84 84

Large Entity Small Entity

Fee Fee Fee Description

Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim, if not paid

109 84 209 42 \*\* Reissue independent claims

110 18 210 9 \*\* Reissue claims in excess of 20

and over original patent

SUBTOTAL (2) (\$84.00)

\*For number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

Fee Description Fee Paid

105 130 205 65 Surcharge - late filing fee or oath

127 50 227 25 Surcharge - late provisional filing fee or cover sheet

139 130 139 130 Non-English specification

147 2520 147 2520 For filing a request for ex parte reexamination

112 920\* 112 920\* Requesting publication of SIR prior to Examiner action

113 1840\* 113 1840\* Requesting publication of SIR after Examiner action

115 110 215 55 Extension for reply within first month

118 400 216 200 Extension for reply within second month

117 920 217 460 Extension for reply within third month

118 1440 218 720 Extension for reply within fourth month

128 1960 228 980 Extension for reply within fifth month

119 310 220 155 Notice of Appeal

120 310 220 155 Filing a brief in support of an appeal

121 270 221 135 Request for oral hearing

138 1510 138 1510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive - unavoidable

141 1240 241 620 Petition to revive - unintentional

142 1280 242 620 Utility issue fee (or reissue)

143 440 243 220 Design issue fee

144 600 244 300 Plant issue fee

146 710 246 355 Filing a submission after final rejection

(37 CFR § 1.129 (a))

149 710 246 355 For each additional invention to be examined (37 CFR § 1.129(b))

179 710 279 355 Request for Continued Examination (RCE)

169 900 169 900 Request for expedited examination of a design application

Advance Copies

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type) Michael C. M... Registration No 36,206 Telephone 763-764-2265

Signature [Signature] Date 2-1-02

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